



TFW

2875

PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/571,044
	Filing Date	Mar 3, 2006
	First Named Inventor	Takashi Oku
	Art Unit	2875
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	075834.00553

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Rockey, Depke, Lyons & Kitzinger, LLC	
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Printed name	Paige A. Kitzinger	
Date	12/28/06	Reg. No. 45,219

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takashi Oku **Atty. Docket No.** 075834.00553
Serial No.: 10/571,044 **Group Art Unit:** 2875
Filed: March 3, 2006 **Examiner:** Unassigned
Invention: BACKLIGHT AND LIGHT GUIDE PLATE, METHOD
OF MANUFACTURING DIFFUSER AND LIGHT
GUIDE PLATE, AND LIQUID CRYSTAL DISPLAY
APPARATUS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
Alexandria, VA 22313-1450

SIR:

In accordance with 37 C.F.R. §§1.97, 1.98, Applicant and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

In accordance with 37 C.F.R. 1.97(b)(3) this Information Disclosure Statement is submitted prior to receipt of a First Office Action on the merits.

Applicant notes that the references listed in the attached Information Disclosure Statement are not in the English language. In accordance with 37 C.F.R. 1.98(a)(3) Applicant encloses the attached abstracts of each of the publications in the English language which were obtained from the website operated by the Japanese Patent Office.

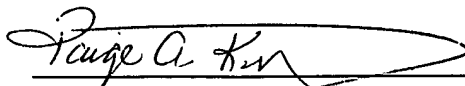
The undersigned asserts that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicant reserves the right to challenge the status of any such document that the office determines to be prior art.

It is Applicant's belief that no fee is required for the submission of this Information Disclosure Statement, however, if it is determined that such a fee is

required, Applicant hereby authorizes the Commissioner to charge any fee due for this submission to Deposit Account No. 50-3891.

Respectfully submitted,

Date: 12/27/06



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Substitute for form 1449A/PTO		Complete if Known	
		Application Number	10/571,044
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	March 3, 2006
		First Named Inventor	Takashi Oku
		Group Art Unit	28/5
		Examiner Name	Unassigned
Attorney Docket Number	075834.00553		
Sheet	1	of	1

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	US1					
	US2					
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FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F1	JP	03-205393		Shimadzu Corp	09/06/1991		
	F2	JP	2002-292690		Meiki Co. Ltd.	10/09/2002		
	F3							
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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